



BHATTADEV UNIVERSITY

(A State University established by an Act of Assam Legislative Assembly)

BAJALI, PATHSALA -781325, ASSAM, INDIA

www.bhattadevuniversity.ac.in

Regulations (Draft) for the Recognition of Ph.D. Thesis Supervisor (Guide)

(Applicable for the 2020-2021 academic session)

1.1. *Procedure for recognition as a Ph.D. Thesis Supervisor*

- i. Applications for recognition as a Ph.D. Supervisor of Bhattadev University (BU) can be made in response to an advertisement published in the website. Applications prepared in the prescribed format may be submitted in the offline mode to the Academic Registrar within the deadline given in the advertisement. The application form may be downloaded from the website of the university. There will be no application fee to apply for recognition as PhD thesis supervisor in the inaugural year, i.e., 2020-2021.
- ii. Valid applications will be processed by the office of the Academic Registrar, and the Research Council, BU will consider them case by case for possible recognition of the applicants as PhD thesis supervisor / guide.
- iii. The recommendation of the Research Council should have the approval of the Executive Council.

1.2. *Eligibility for recognition as a Ph.D. Thesis Supervisor*

An applicant intending to become a Ph.D. Guide (or Supervisor) should fulfil the following conditions:

- i. The applicant should be a full-time regular faculty member, a scientist or scientific officer of the academic departments of BU or such recognized colleges which are likely to become affiliated to BU in future or from a reputed Indian institution.
- ii. Should complete the period of probation after appointment in the concerned institution.
- iii. Should have a minimum of 2 (two) research papers/research articles in journals covered by standard citation indices like Science Citation Index (SCI), SCI-Expanded, Social Sciences Citation Index (SSCI), Arts & Humanities Citation Index (A&HCI), SCOPUS, Web of Science (WoS), etc. These research papers/articles should not be a part of the M.Phil./Ph.D. thesis of the faculty member / scientist. For those subjects which do not have such indexed journals, the Research Council of the university will refer to the UGC approved list of journals (<https://www.ugc.ac.in/journallist/>).
- iv. Persons from reputed institutions, universities, deemed to be universities, or other institutions recognised, funded and regulated by national agencies such as DST, CSIR, DBT, ICSSR, etc. may be recognized as 'Co-Guide' for collaborative research as per approval of the Research Council. Please see below for definition of 'Co-Guide' or 'Co-Supervisor'.
- v. In case of applicants from institutions other than Bhattadev University, to be called 'other institutions' hereinafter, for research involving laboratory work the concerned laboratory in the institute/college should be recognised by the Research Council as a Research Laboratory appropriate for Ph.D. scholars to work in, prior to recognition of the applicant as a Ph.D. Guide. (Please see *Annexure I*)
- vi. A person should not be superannuated and should have more than three years (3 years) to the date of his / her superannuation. The Research Council may however

decide to recommend the recognition of researchers having personal h-index of 10 or above and eminent scholars having outstanding research output until her/his date of superannuation.

- vii. A person on lien from BU is not eligible to apply for recognition as research supervisor, except when the person is on lien within the university. A person employed on lien in BU for a minimum period of 3 years may be permitted to apply for recognition as a PhD guide; however, in such a case a co-guide from among the recognized research guides holding a permanent position in BU will also be attached to the student(s) supervised by such a guide.

1.3. *Number of PhD Students/Scholars under a Research Guide*

- i. The maximum number of Ph.D. scholars shall not be more than 8, 6, and 4 at any point of time under a Research Guide who is a Professor, Associate Professor, and Assistant Professor (or equivalent positions), respectively. This excludes those Research Scholars who have submitted their theses for evaluation and those for whom the person is a co-guide.
- ii. This rule is also applicable to Supervisors from Sister Institutes / Colleges. However, in such cases, the recognized Guide will be required to give an undertaking by stating the total number of Ph.D. students under his/her guidance.

1.4. *Co-Guide or Co-Supervisor*

In the event of a scholar pursuing the research programme on a topic with ramifications stretching to two or more areas/disciplines she/he may apply to the Chairman of the concerned DRC for a Co-Guide (or Co-Supervisor), duly endorsed by the concerned RAC. The allotment of a Co-Guide is subject to the following conditions.

- i. The Co-Guide (or Co-Supervisor) may be from BU, the sister institutes, and colleges or from another university or an institute/college not affiliated to BU.
- ii. The Co-Guide should be a recognised guide either of BU or of the respective institution and from among the regular faculty member/scientist/scientific officer of the respective institution/university (recognized by the UGC/concerned appropriate Body) to which (s)he belongs.
- iii. Persons who are appointed as full-time faculty members in the academic departments of BU under special schemes such as INSPIRE (DST) Faculty, UGC Faculty Recharge Programme, etc., may be recognised as Co-Guide.
- iv. The allotment of a co-guide is limited only to the concerned Ph.D. Scholar.
- v. The concerned DRC/FRC after scrutinizing the research proposal submitted by the candidate, will take the decision on this matter which will require subsequent approval from the Research Council.
- vi. The inclusion of a co-guide in a research programme should be done prior to the Final Registration Seminar. No request for a co-guide under any circumstances will be entertained after the Final Registration Seminar.
- vii. A person who is a co-guide, will only derive benefits (such as enhancement of API Score) on account of her/him being a co-guide in proportion with the extent her/his supervision as decided by the Research Council.
- viii. The prescribed limit of research scholars that a Ph.D. Supervisor may take at any given time, will also apply to the case of co-guide and in this regard, the decision of the Research Council will be final.

1.5. *Change of Research Guide*

The permission for change of supervisor requires a 'No Objection Certificate' from the present guide and a 'Letter of Consent' from the prospective guide, agreeing to supervise the scholar. If a research scholar applies for changing his/her research guide without the consent of the Guide, the Research Council will consider about granting permission in this regard on the condition that he/she would not be allowed to pursue research work on the same topic. A scholar may apply for change of guide to the Academic Registrar, BU. The request should have an endorsement from the respective and DRC/FRC. The Academic Registrar will place the matter before the Research Council, BU for necessary action. A change of Research Supervisor will necessarily imply re-formation of the RAC.

1.6. *Change of Status of Research Guide*

If during the course of the Ph.D. work the status of the research guide changes, the status quo would be maintained except in the case of the death of the supervisor. The change of status mentioned above includes any or all of the following:

- i. Transfer of the supervisor to another institution within or outside the jurisdiction of BU.
- ii. Superannuation of the supervisor.
- iii. Change of designation (in case of promotion) of the supervisor.

If, however, due to certain reasons the university 'withdraws recognition as a supervisor' for a person, s/he will cease to be a supervisor with immediate effect and the scholar will be required to apply to the respective DRC for a change of guide. In the unfortunate event of the death of a supervisor, the scholar shall apply to the concerned DRC for allotment of a new guide. In such cases, the maximum number of research scholars allotted to a supervisor may increase.

Annexure I: Recognition of Research Laboratory

When a researcher from other institutions applies for recognition as Ph.D. Research Supervisor, the Research Council may require the prior recognition of the laboratory as a suitable venue for carrying out Ph.D. related research work. If the relevant subject is one where a laboratory is an essential, the laboratory is to be recognised as one which is suitable for carrying out Ph.D. research. To determine suitability of the research laboratory the university may arrange to inspect the laboratory and for such inspections the applicant's institution will have to bear the cost of the visit thereto by a team of experts. The following conditions will also apply.

- i. The proposed laboratory should be a separate one which will not be utilised for routine classroom experiments.
- ii. It should have the required experimental setups essential for carrying out research.
- iii. It should have sufficient funds for recurring expenses such as required for chemicals and other consumables.
- iv. The concerned institute will pay the required fee annually. The recognition following the payment of fees will be valid for one year from the date of recognition and has to be renewed each year on payment of the required fee.
- v. The recognition will be provisional only and will be subject to inspection from time to time.